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Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/824,305 TRANSMITTAL **FORM** April 2, 2001 **Filing Date** (to be used for all correspondence after initial filing) **First Named Inventor** Mahajan -**Group Art Unit** 2163 **Examiner Name** Not yet assigned Total Number of Pages in This Submission Attorney Docket Number G08.055 ENCLOSURES (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s)

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	Amendment /	Reply		Lic	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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	Affidav	vits/declaration(s)			ition to Convert to a visional Application		Status Letter
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Firm or Individ	dual name	Kurt M. Maschoff					
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Date		February (8, 2003					

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Attorney Docket No.: G08.055

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MAHAJAN et al.

Serial No.: 09/824,305

Filing Date: April 2, 2001

For: APPARATUS, METHODS AND

ARTICLES OF MANUFACTURE FOR COMPUTERIZED TRANSACTION EXECUTION AND PROCESSING

Examiner: Not yet assigned

Group Art Unit: 2163

Attorney Docket No.: G08.055

Commissioner for Patents Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Attorney Docket No.: G08.055

No fee is believed to be due under 37 CFR §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with the above-identified application.

Respectfully submitted,

February 18, 2003

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(use as many sheets as necessary)

Sheet 1 of

Complete if Known				
Application Number	09/824,305			
Filing Date	April 2, 2001			
First Named Inventor	MAHAJAN			
Group Art Unit	2163			
Examiner Name	Not yet assigned			
Attorney Docket Number	G08.055			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Door	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Α	2002/0046146	A1	Otero et al.	04/18/2002		
	В	2002/0046149	A1	Otero et al.	04/18/2002		
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	D	2002/0073059	A1	Foster et al.	06/13/2002		
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